

ESTATE PLANNING QUESTIONNAIRE

FOR SPOUSES

DAVIDSON, DAWSON & CLARK LLP

Please attach additional pages as needed

Date: _____

SPOUSE 1 _____
Full legal name and all variations, with initials and any suffix

SPOUSE 2 _____
Full legal name and all variations, with initials and any suffix

D.O.B. ___/___/___ CITIZENSHIP(S) _____

D.O.B. ___/___/___ CITIZENSHIP(S) _____

S.S. NO. _____

S.S. NO. _____

ADDRESS _____

PHONE (H) _____ FAX (H) _____

PHONE (M) _____ PHONE (M) _____

E-MAIL _____ E-MAIL _____

EMPLOYMENT _____ EMPLOYMENT _____

PHONE (O) _____ PHONE (O) _____

ALL CHILDREN (NAME, DOB, ADDRESS, SSN) Full legal names with initials and any suffix:

Please footnote to indicate: 1) married; 2) has child or children; 3) deceased; 4) child of Spouse 1 only; 5) of Spouse 2 only; 6) special medical or other needs; 7) adopted as a minor; 8) adopted as an adult; 9) born out of wedlock 10) via surrogate or egg/sperm donor.

Do you have Wills __, Powers of Attorney __, or Health Care documents __ from another firm?*

Are you now actively serving as an Executor, Trustee or Guardian? _____

Are you the creator or the present or future beneficiary of an existing trust? _____*

Have you ever filed a federal Gift Tax Return? _____ A State Gift Tax return? _____*

Do you have a separation agreement? _____* A pre- or post-nuptial agreement? _____*

Have you or anyone you may benefit been a donor in a fertility program or a surrogate mother? __

Do any other persons rely on you for assistance or financial support? _____

Have you lived in a community property state while married? _____ When? _____ - _____
(i.e. Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Texas, Washington)

Do you have any urgent medical concerns, travel plans or other estate planning deadlines?

* Please supply copies if available.

CONFIDENTIAL ESTATE INVENTORY

The following information about your assets is important for tax planning. Exact figures are not needed, but please indicate any area of substantial uncertainty. *The suitability of your estate plan will depend on the accuracy of this information.*

ASSETS (Fair Market Value)* <small>Please note if a beneficiary has been designated as, for example, in "Transfer On Death" bank or brokerage account.</small>	<u>Spouse 1</u>	<u>Joint Name</u>	<u>Spouse 2</u>
Bank Accounts (Average Balance)	_____	_____	_____
Tangible Property (Furnishings, Jewelry, Art, Antiques)	_____	_____	_____
Real Estate (less Mortgages) (Detail below)	_____	_____	_____
Publicly Traded Securities	_____	_____	_____
Life Insurance (Face Amount; Detail on next page)	_____	_____	_____
Deferred Compensation	_____	_____	_____
Retirement Plans (Detail on next page)	_____	_____	_____
Club Bond/Assisted Living Community Refunds	_____	_____	_____
Restricted Stock (Please describe separately)	_____	_____	_____
Stock Options (Qualified? Y / N) (Please attach detail)	_____	_____	_____
Closely Held Stock (Ownership _____%) (Shareholders' Agreement? Y / N) (S Corp? Y / N)	_____	_____	_____
Hedge Funds, Tax Shelters, Partnerships	_____	_____	_____
Patents, Trademarks or Copyrights	_____	_____	_____
"529" Tuition Plans (Date of last contribution: _____)	_____	_____	_____
UTMA Accounts with you as both Custodian and contributor	_____	_____	_____
Cemetery Plots (Location: _____)	_____	_____	_____
Miscellaneous _____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
TOTAL ASSETS (optional):	_____	_____	_____
 LIABILITIES (Except mortgages):			
Life Insurance Loans	_____	_____	_____
Charitable Pledges	_____	_____	_____
Lawsuits, Taxes, Other _____	_____	_____	_____
NET ASSETS (optional):	_____	_____	_____

Describe any expected inheritances or imminent change in finances: _____

DETAIL OF REAL ESTATE HOLDINGS

(Please note if co-operative apartment)

Description	Owner(s)	Location	Approx. Value	Mortgages (Amt.)
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

DETAIL OF INSURANCE AND EMPLOYEE BENEFITS

LIFE INSURANCE (Please indicate whether (T)erm, (W)hole Life, and/or (G)roup

Issuing or Employing Company	Face Amount	Person Insured	Policy Owner	Primary Beneficiary	Secondary Beneficiary
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

RETIREMENT BENEFITS	Amount	Employee or Owner	Employer	Primary Beneficiary	Secondary Beneficiary
Pension	_____/Yr.	_____	_____	_____	_____
Profit Sharing	_____	_____	_____	_____	_____
401(k), Thrift, etc.	_____	_____	_____	_____	_____
Other _____	_____	_____	_____	_____	_____

Can pension be taken as a Lump Sum? Y/N
Does pension offer a death benefit? Y/N

IRAs AND KEOGHS:	Amount	Owner	Roth?	Primary Beneficiary	Secondary Beneficiary
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

FOR NEW CLIENTS: TERMS OF REPRESENTATION

We are pleased to have been asked to represent you, and we look forward to an enjoyable, successful, and trouble-free professional relationship. The Rules of Professional Conduct, however, impose certain obligations on attorneys. One in particular, the rule governing joint legal representation, should be addressed even before our first meeting.

Joint representation of spouses is common in estate planning. It generally reduces expenses and facilitates the coordination of a couple’s estate plans. Nevertheless, conflicts of interest may occasionally arise. For example, it may be recommended that property be transferred between spouses or that confidential information be revealed. A lawyer representing spouses jointly cannot conceal information from either, take action for one spouse detrimental to the other, or even terminate representation of one spouse without advising the other. Please sign below before returning this Questionnaire if you wish to proceed with joint representation.

We agree to proceed on the basis of joint representation, pending the future approval by us and DD&C of a full engagement agreement:
